

## **Davis-Rea Ltd./Davis-Rea Ltee.**

### **Privacy Policy**

Davis Rea values your privacy. The purpose of this privacy policy is to disclose to you the types of personal information we gather, how we gather it, what we use it for, and inform you of the choices that you have in our use of your personal information.

The Personal Information Protection and Electronic Documents Act is legislation enacted by the Canadian government. That Act relates to the collection of personal information by businesses, and we fully adhere to it.

#### **Personal information we collect**

We collect a variety of personal information from you, depending upon the type of interaction that we have with you.

For example, we may collect "basic" personal information from you when you contact us by telephone to ask general questions about Davis Rea (such as the type of services we provide), or when you contact us by email (for example, through the "Contact Us" link on our website). The types of basic information we might collect could include:

- your name
- your address
- your telephone number
- your e-mail address
- your gender, age and family circumstances

Once you express an interest in us performing financial services for you, we will likely obtain a fair amount of financial information about you to better understand your financial situation and determine what services we can offer you.

Once you formally retain us to provide financial services, we will obtain a great deal of very sensitive financial information about you and your family in order to provide you with the financial products and services required by you or that we feel are needed by you. As part of our services, we can advise you on estate planning and we may require health information about you and your family.

#### **Our policy regarding sharing of information:**

We never distribute or share your personal information to any third parties without your written consent, except as follows:

- We use TD Waterhouse Institutional Services, as well as other brokers and traders, to perform our trades on stock exchanges and of necessity we will be providing them with personal information needed by them to do so.
- From time to time we must report information about our clients (including their financial information) to government regulators (such as the Ontario Securities Commission) or stock exchanges such as the Toronto Stock Exchange (also known as the TSX).
- Depending upon whether we provide services to you relating to jurisdictions outside of Canada (for example, purchasing securities for you in the United States) we may and likely will have to give some of your personal information to bodies outside of Canada (for example, to the New York Stock Exchange when purchasing securities on the NYSE) and in such instances your personal information likely will be stored by them and then on servers outside of Canada (for example, in the United States in the case of NYSE purchases). Remember, that when we distribute your personal information to such service providers outside of Canada, these service providers will be bound by the laws of their respective governments.

### **Control of Your Information**

You always have the right to access any personal information that we have collected from and about you, for example, to verify its accuracy. You only have to make a written request for such access and we will be happy to comply with your requests in that regard.

### **Contact Us**

If you have any questions pertaining to your personal information, please contact us in writing at the following address

Privacy Officer  
Davis-Rea Ltd./Davis-Rea Ltee.  
79 Wellington Street West  
Suite 3535  
P.O. Box 239  
Toronto, Ontario  
M5K 1J3